

INFORMATION DISCLOSURE OF A CITATION
IN AN APPLICATION
PTO 1449

DOCKET NUMBER

40827.00011

APPLICATION NUMBER

09/378,226

(Use several sheets if necessary)

FEB 07 2000

APPLICANT

Mark D. Riggins

FILING DATE

08/19/99

GROUP ART UNIT

2766

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLAS S	FILING DATE IF APPROPRIATE
A	A	5,544,322	05/09/1994	Pau-Chen Cheng, et al.	395	200.12	
M	B	5,706,427	06/27/1996	Takaaki Tabuki	395	187.01	
	C						
	D						
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FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLAS S	TRANSLATION
	H						YES NO
	I						
	K						

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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EXAMINER

DATE CONSIDERED

9/10/03

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not information and not considered. Include copy of this with next communication to applicant.

INFORMATION DISCLOSURE CITATION IN AN APPLICATION PTO 1449 (Use several sheets if necessary)			DOCKET NUMBER 40827.00011	APPLICATION NUMBER 09/378,226			
			APPLICANT Mark D. Riggins				
			FILING DATE August 19, 1999	GROUP ART UNIT 2766			
U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>AM</i>	A	5,999,947	12/07/99	John M. Zollinger et al	707	203	
<i>AM</i>	B	5,666,530	09/09/97	Ted H. Clark et al.	395	617	
<i>AM</i>	C	5,951,652	09/14/99	Michael I. Ingrassia et al.	709	248	RECEIVED
	D						APR 11 2000
	E						Group 2700
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FOREIGN PATENT DOCUMENTS							
REF	DOCUMENT NUMBER	DATE	COUNTRY		CLASS	SUBCLAS S	TRANSLATION
H							Yes
I							No
J							
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
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M							
EXAMINER <i>Mark D. Riggins</i>	DATE CONSIDERED <i>9/10/03</i>						
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP section 609; Draw line through citation if not information and not considered. Include copy of this with next communication to applicant.							

INFORMATION DISCLOSURE CITATION IN AN APPLICATION PTO 1449 (Use several sheets if necessary)				DOCKET NUMBER 40827.00011	APPLICATION NUMBER 09/378,226	RECEIVED JUL 6 2000	
				APPLICANT Mark D. Riggins			
				FILING DATE 08/19/99	GROUP ART UNIT 2766	GROUP 2700	
U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLAS S	FILING DATE IF APPROPRIATE
<i>AM</i>	A	5,870,544	02/09/99	Bryce A. Curtis	395	187.01	
<i>AM</i>	B	5,982,898	11/09/99	Young-Kao Hsu	380	23	
<i>AM</i>	C	5,787,172	07/28/98	Terry S. Arnold	380	21	
<i>AM</i>	D	5,420,927	05/30/95	Silvio Micali	380	23	
<i>AM</i>	E	5,799,086	08/25/98	Frank W. Sudia	380	23	
	F						
FOREIGN PATENT DOCUMENTS							
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLAS S	TRANSLATION
	H						YES NO
	I						
	K						
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
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EXAMINER <i>Mark D. Riggins</i>				DATE CONSIDERED <i>8/10/03</i>			
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not information and not considered. Include copy of this with next communication to applicant.							

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <small>FEB 22 2002 C24</small> <small>(use as many sheets as necessary)</small>		Application Number	09/378,226
		Filing Date	August 19, 1999
		First Named Inventor	Mark D. Riggins
		Group Art Unit	2766
		Examiner Name	Unknown
		Attorney Docket Number	43630.00011
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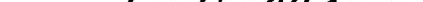
2002

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U.S. PATENT DOCUMENTS

**COPY OF PAPERS
ORIGINALLY FILED**

FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	3/08/03
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION
PTO 1449

(Use several sheets if necessary)

DOCKET NUMBER

43630.00011

APPLICATION NUMBER

09/378,226

APPLICANT

Mark D. Riggins

FILING DATE

August 19, 1999

GROUP ART UNIT

2766

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLAS S	FILING DATE IF APPROPRIATE
M	A	5,373,559	12/13/94	Charles W. Kaufman, et al.	380	30	03/18/93
	B						
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	E						
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FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLAS S	TRANSLATION
M	H	2,210,763	01/17/99	Canada			YES NO
M	I	EP0801478	10/15/97	Europe			
	K						

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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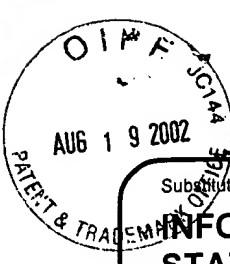
EXAMINER

Mark D. Riggins

DATE CONSIDERED

1/10/03

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

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of

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<i>Complete if Known</i>	
Application Number	09/378,226
Filing Date	August 19, 1999
First Named Inventor	Mark D. Riggins
Group Art Unit	2766
Examiner Name	Unknown
Attorney Docket Number	43630.00011

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AUG 23 2002
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Examiner Signature		Date Considered	2/20/03
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³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449A/PTO				<i>Complete if Known</i>	
JUN 05 2003 INFORMATION DISCLOSURE STATEMENT BY APPLICANT <small>TRADEMARK</small> <i>(use as many sheets as necessary)</i>				Application Number	09/378,226
Sheet	1	of	2	Filing Date	August 19, 1999
				First Named Inventor	Mark D. Riggins
				Art Unit	2766
				Examiner Name	Unknown
				Attorney Docket Number	43630.00011

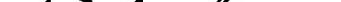
U.S. PATENT DOCUMENTS

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JUN 06 2003

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FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	10/10/23
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



PTO/SB/08b(05-03)

Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

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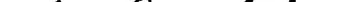
of

Attorney Docket Number

Complete if Known

Application Number	09/378,226
Filing Date	August 19, 1999
First Named Inventor	Mark D. Riggins
Group Art Unit	2766
Examiner Name	Unknown

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS				
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		T ²
AM		KOHL, John T., et al.; "The Evolution of the Kerberos Authentication Service"; 1991; pages 1-15; This paper is a revision of a paper presented at the Spring 1991 EurOpen Conference in Tromso, Norway.		
AM		ADAMS, Charlotte; "Multilevel Secure Networking Charges Ahead"; Federal Computer Week; April 12, 1993; 5 pages.		
AM		JAEGER, Trent and Atul PRAKASH; "Implementation of a Discretionary Access Control Model for Script-based Systems"; IEEE June 1995; 15 pages.		
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Examiner Signature		Date Considered	9/10/02
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). **2** Applicant is to place a check mark here if English language Translation is attached.

17 Applicant's unique citation designation number (optional). 18 Applicant is to place a check mark here if English is language of record. 19
This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

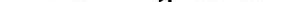
If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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Substitute for form 1449A/PTO		<i>Complete if Known</i>	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT FEB 22 2002 <small>(use as many sheets as necessary)</small>		Application Number	09/378,226
		Filing Date	August 19, 1999
		First Named Inventor	Mark D. Riggins
		Group Art Unit	2766
		Examiner Name	Unknown
		Attorney Docket Number	43630.00011
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MAR 04 2002			
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U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	2/10/02
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³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.⁶ Applicant is to place a check mark here if English language Translation is attached.

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INFORMATION DISCLOSURE CITATION IN AN APPLICATION			DOCKET NUMBER 40827.00011	O P E AUG 30 1999 PATENT & TRADEMARK OFFICE J C 6	APPLICATION NUMBER Unassigned		
(Use several sheets if necessary)			APPLICANT Mark D. Riggins	FILING DATE August 19, 1999	GROUP ART UNIT Unassigned		
U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>AM</i>	A	5,666,553	9/9/97	Keith Crozier	395	803	
<i>AM</i>	B	5,701,423	12/23/97	Keith Crozier	395	335	
<i>AM</i>	C	5,682,524	10/28/97	Freund et al.	395	605	
<i>AM</i>	D	5,778,346	7/7/98	Frid-Nielsen et al.	395	208	
<i>AM</i>	E	5,684,990	11/4/97	David Boothby	707	203	
<i>AM</i>	F	5,706,502	1/6/98	FOLEY et al	395	610	
<i>AM</i>	G	4,831,582	5/1989	Miller et al.	707	104	
<i>AM</i>	H	5,263,157	11/1993	Janis	707	9	
<i>AM</i>	I	5,581,749	12/1996	Hossain et al.	707	1	
<i>AM</i>	J	5,613,012	3/1997	Hoffman et al.	382	115	
<i>AM</i>	K	5,627,658	5/1997	Connors et al.	358	407	
<i>AM</i>	L	5,715,403	2/1998	Stefik	705	44	
<i>AM</i>	M	5,757,916	5/1998	MacDoran et al.	380	25	
FOREIGN PATENT DOCUMENTS							
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
<i>AM</i>	N	2,191,505	06/30/97	Canada	H04M	3/42	YES NO
	O						
	P						
	Q						
	R						
	S	C					
EXAMINER <i>Paul Manley</i>				DATE CONSIDERED <i>9/16/03</i>			
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not information and not considered. Include copy of this with next communication to applicant.							

INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary)		DOCKET NUMBER 40827.00011	APPLICATION NUMBER Unassigned
		APPLICANT Mark D. Riggins	RECEIVED SEP 23 1999
		FILING DATE August 19, 1999	GROUP ART UNIT Unassigned
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)			
M	T	Freier et al., entitled "The SSL Protocol, Version 3.0," Netscape Communications, dated November 18, 1996, pages 1-59, Downloaded from URL=http://www.home.netscape.com/eng/ss13/draft302.txt	
M	U	Article by Bellovin et al., entitled: "Network Firewalls" Published by IEEE Communications Magazine September 1994, pages 50-57.	
M	V	Article by Steffen Stempel, entitled: "IPAccess-An Internet Service Access System for Firewall Installations" Published by IEEE Communications Magazine February 16, 1995, pages 31-41.	
M	W	Article by Braun et al., entitled: "Web Traffic Characterization: an assessment of the impact of caching documents from NCSA's web server" Published by Elsevier Science B.V. 1995 pages 37-51.	
M	X	Article by Nelson et al., entitled: "Security for Infinite Networks" Published by IEEE Communications Magazine on August 22, 1995, pages 11-19.	
M	Y	Article by Greenwald et al., entitled: "Designing an Academic Firewall: Policy, Practice, and Experience with SURF" Published by IEEE Communications Magazine on February 22, 1996, pages 79-92.	
M	Z	Article by Kiuchi et al., entitled: "C-HTTP—The Development of a Secure, Closed HTTP-based Network on the Internet" Published by IEEE Proceedings of SNDSS on February 22, 1996, pages 64-75.	
M	AA	Article by S. Cobb, entitled: "Establishing Firewall Policy" Published by National Computer Security Assn. on June 25-27, 1996, pages 198-205.	
M	BB	Mark R. Brown et al. "Using Netscape 2", Second Edition, QUE Corporation, 1995, Chapter 34 "SUN's JAVA and the Netscape Browser", pages 885-907.	
M	CC	Tanenbaum, Andrew S., "Computer Network", Third Edition, Prentice-Hall 1996, ISBN 0-13-349945-6, Chapter 7, Sections 7.1.4 to 7.1.6, pp. 597-618.	
M	DD	Knudsen, Jonathan, "Java Cryptography", First Edition, O'Reilly & Assoc. 1998, ISBN 1-56592-402-9, pp. 79-91.	
M	EE	Web page: www.verisign.com/press/product/isv.html, Verisign Press Release, "Verisign Enhances Digital IDS to Enable Universal Website Login and One-Step Registration", November 3, 1998, 3 pages.	
	FF		
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	HH		
	II		
	JJ		
	KK		
EXAMINER		DATE CONSIDERED	
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			APPLICANT Mark D. Riggins	FILING DATE August 19, 1999	GROUP ART UNIT Unassigned		
U.S. PATENT DOCUMENTS (CONTINUED)							
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>AM</i>	LL	4,897,781	01/30/90	Albert Chang et al.	364	200	
<i>AM</i>	MM	5,623,601	04/22/97	Hung T. Vu	395	187.01	
<i>AM</i>	NN	5,572,643	11/05/96	David H. Judson	395	793	
<i>AM</i>	OO	5,647,002	07/08/97	Gordon Richards Brunson	380	49	
<i>AM</i>	PP	5,784,463	07/21/98	James F. Chen et al.	380	21	
<i>AM</i>	QQ	5,784,464	07/21/98	Ryota Akiyama et al.	380	25	
<i>AM</i>	RR	5,828,840	10/27/98	Richard Cowan et al.	395	200.33	
<i>AM</i>	SS	5,657,390	08/12/97	Taher Elgamal et al.	380	49	
<i>AM</i>	TT	5,682,478	10/28/97	Colin Watson et al.	395	200.12	
<i>AM</i>	UU	5,220,603	06/15/93	Thomas A. Parker	380	21	
<i>AM</i>	VV	5,434,918	07/18/95	Kenneth C. Kung et al.	380	25	
<i>AM</i>	WW	5,491,752	02/13/96	Charles W. Kaufman et al.	380	30	
<i>AM</i>	XX	5,048,085	09/10/91	Dennis G. Abraham et al.	380	23	
<i>AM</i>	YY	5,495,533	02/27/96	Mark H. Linehan	380	21	
<i>AM</i>	ZZ	5,721,779	02/24/98	Paul Funk	380	23	
<i>AM</i>	AAA	4,652,698	03/24/87	William J. Hale et al.	380	24	
<i>AM</i>	BBB	5,644,354	07/01/97	John R. Thompson et al.	348	13	
	CCC						
	DDD						
EXAMINER <i>Am</i>				DATE CONSIDERED <i>7/16/03</i>			
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Sheet 3 of 3